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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 399757

First Inventor Shubhen Kapila, et al.

Title SOLVENT AND METHOD FOR EXTRACTION OF TRIGLYCERIDE RICH OIL

Express Mail Label No. EV 008780280 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 36]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 3]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Certificate of Mailing

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 09 / 491,185

Prior application information: Examiner R.D. Lovering

Group / Art Unit: 1712

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

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Telephone

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Name (Print/Type)

Kenneth D. Goetz

Registration No. (Attorney/Agent)

32,696

Signature

Kenneth D. Goetz

Date

February 21, 2002

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status.

TOTAL AMOUNT OF PAYMENT (\$ 370

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Shubhen Kapila
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Assigned
Attorney Docket No.	399757

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																		
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SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Kenneth D. Goetz	Registration No. Attorney/Agent	32,696	Telephone	(816) 460-5849
Signature	<i>Kenneth D. Goetz</i>	Date	February 21, 2002		

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Shubhen Kapila, et al.

Matter No.

399757

Serial No.

Filing Date

Examiner

Group Art Unit

Not Yet Assigned

Herewith

Not Yet Assigned

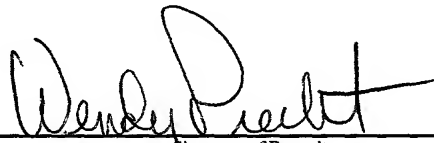
Not Yet Assigned

Invention: Solvent And Method for Extraction of Triglyceride Rich Oil

I hereby certify that this Utility Patent Application Transmittal (1 page); Fee Transmittal (1 page in duplicate); Preliminary Amendment (7 pages); Copy of Specification including claims and abstract (36 pages); Copy of Drawings (3 pages); Copy of signed Oath, Power of Attorney and Petition (3 pages); Information Disclosure Statement By Applicant (1 page); 17 Reference cited; authorization to charge Deposit Account No. 12-0600 in the amount of \$370.00 for the filing fee; authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 12-0600; and return post card are being mailed in an envelope addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 on this 21st day of February, 2002.

Wendy Precht

Name of Depositor



Signature of Depositor

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